



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,947	11/26/2001	Philippe Laberge	03705.0002.NPUS00

CONFIRMATION NO. 8803

FORMALITIES LETTER



OC000000007175882

22930
HOWREY SIMON ARNOLD & WHITE LLP
BOX 34
1299 PENNSYLVANIA AVENUE NW
WASHINGTON, DC 20004

Date Mailed: 12/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

SG
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/29/2002 MAHNE1 00000041 09991947

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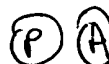
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WASHINGTON, D.C.

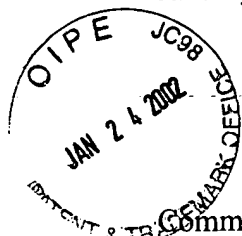
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03CO
128
1299 PENNSYLVANIA AVE., NW
WASHINGTON, DC 20004-2402
PHONE 202.783.0800
FAX 202.383.6610
A LIMITED LIABILITY PARTNERSHIP

January 24, 2002



Commissioner for Patents
Washington, D.C. 20231

Box Missing Part

Re: U.S. Non-Provisional Patent Application No. 09/991,947
Filed: November 26, 2001
For: **Contextual Index Based Information Soliciting Retrieval and Self-
Updating Management Systems and Methods**
Inventor: Philippe LABERGE
Our Ref: 03705.0002.NPUS00

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Application;
2. Copy of Notice to File Missing Parts;
3. Original executed Combined Declaration and Power of Attorney for Patent Application;
4. Return postcard; and
5. Our Check No. 006053 for \$65.00 to cover:

\$ _____ Filing Fee for Patent Application (37 C.F.R. § 1.16)
\$ _____ extra claim fee (37 C.F.R. § 1.16)
\$65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)
\$ _____ for Extension fees under 37 C.F.R. § 1.136.

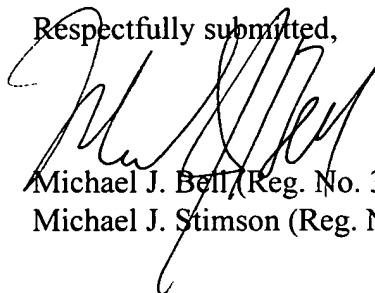
It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

January 24, 2002

Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No.08-3038 referencing docket number 03705.0002.NPUS00. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No.08-3038 referencing docket number 03705.0002.NPUS00. A duplicate copy of this letter is enclosed.

Respectfully submitted,



Michael J. Bell (Reg. No. 39,604)

Michael J. Stimson (Reg. No. 45,429)

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Philippe LABERGE

Appl. No. 09/991,947

Filed: November 26, 2001

For: **Contextual Index Based Information
Soliciting Retrieval and Self-Updating
Management Systems and Methods**

Art Unit: 2161

Examiner: To be assigned

Attorney Docket:
03705.0002.NPUS00

**Response to Notice to File
Missing Parts of Application**

Commissioner for Patents
Washington, D.C. 20231
ATTN: Box Missing Parts

Sir:

In response to the **Notice to File Missing Parts of Application--Filing Date Granted** dated December 10, 2001, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☐ Petition for Extension of Time under 37 C.F.R. § 1.136;
- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original combined Declaration and Power of Attorney, executed by the inventor;
- ☐ An original executed Power of Attorney from Assignee;
[or]
- ☐ An original executed Power of Attorney from Assignee with Delegation;
- ☐ Assignee Certificate Under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
- ☐ Small Entity Status form;
- ☒ Return postcard; and
- ☒ Our Check No. 006053 for \$65.00 to cover:

January 24, 2002

Page 2

PTO-2038 Credit Card Payment form for \$ _____ to cover:

\$ _____ Filing Fee for Patent Application (37 C.F.R. § 1.16)

\$ _____ Fee for excess claims (37 C.F.R. § 1.16)

\$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)

\$ _____ For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number 03705.0002.NPUS00. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number 03705.0002.NPUS00. A duplicate copy of this Response is enclosed.

Respectfully submitted,


Michael J. Bell (Reg. No. 39,604)

Michael J. Stimson (Reg. No. 45,429)

Date: January 24, 2002

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